



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Scott M. Hanson et al.

Serial No.: 10/621,599

Examiner: Unknown

Filed: July 17, 2003

Group Art Unit: Unknown

For: FILTER DELIVERY AND RETRIEVAL DEVICE

Docket No.: 1001.1448103

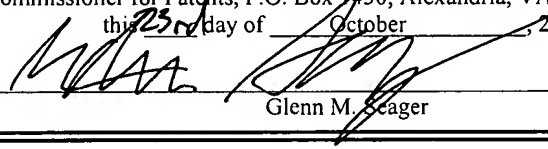
**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 23rd day of October, 2003.

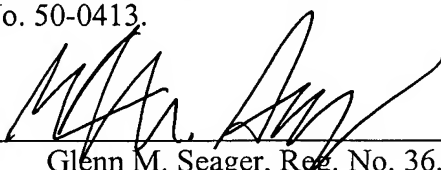
By

  
Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 (No references are submitted herein pursuant to 37 CFR §1.98(d).
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

  
Glenn M. Seager, Reg. No. 36,926  
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



PATENT

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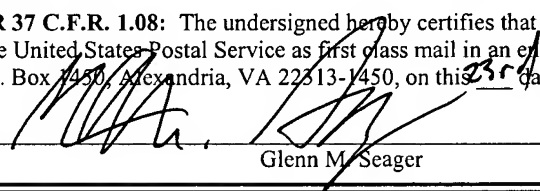
For: FILTER DELIVERY AND RETRIEVAL DEVICE

Docket No.: 1001.1448103

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**CERTIFICATE UNDER 37 C.F.R. 1.08:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 28th day of October, 2003.

By

  
Glenn M. Seager

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

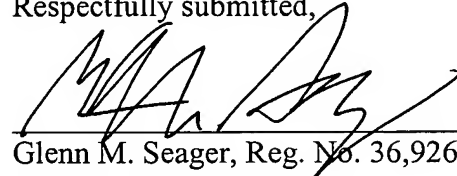
Copies of the documents listed on the 1449 Form were previously either submitted by Applicant or cited by the U.S. Patent and Trademark Office in the prior application, U.S. Application No. 09/801,263, filed March 7, 2001, now U.S. Patent No. 6,616,681, upon which Applicant relies for the benefits provided in 35 U.S.C. §120.

Therefore, under the provisions of 37 C.F.R. §1.98(d), copies of these documents are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated: Oct. 23, 2003

Respectfully submitted,



Glenn M. Seager, Reg. No. 36,926

Customer No. 28075

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|   |                                |                                   |
|---|--------------------------------|-----------------------------------|
| <b>FORM 1449*</b><br><br><b>INFORMATION DISCLOSURE STATEMENT<br/>IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | Docket Number:<br>1001.1448103 | Application Number:<br>10/621,599 |
|   | Applicant: Hanson et al.       |                                   |
|   | Filing Date: July 17, 2003     | Group Art Unit: Unknown           |

| U.S. Patent Documents |              |      |      |       |
|-----------------------|--------------|------|------|-------|
| Examiner<br>Initial   | DOCUMENT NO. | DATE | NAME | CLASS |

|                |            |                         |          |
|----------------|------------|-------------------------|----------|
| <u>3472230</u> | Oct., 1969 | Fogarty                 | 128/328. |
| <u>3592186</u> | Jul., 1971 | Oster                   | 128/2.   |
| <u>3683904</u> | Aug., 1972 | Forster                 | 128/127. |
| <u>3889657</u> | Jun., 1975 | Baumgarten              | 128/2.   |
| <u>3952747</u> | Apr., 1976 | Kimmell, Jr.            | 128/303. |
| <u>3996938</u> | Dec., 1976 | Clark, III              | 128/348. |
| <u>4046150</u> | Sep., 1977 | Schwartz et al.         | 128/328. |
| <u>4425908</u> | Jan., 1984 | Simon                   | 128/1.   |
| <u>4447227</u> | May., 1984 | Kotsanis                | 604/95.  |
| <u>4580568</u> | Apr., 1986 | Gianturco               | 128/345. |
| <u>4590938</u> | May., 1986 | Segura et al.           | 128/328. |
| <u>4619246</u> | Oct., 1986 | Molgaard-Nielsen et al. | 128/1.   |
| <u>4631052</u> | Dec., 1986 | Kensey                  | 604/22.  |
| <u>4643184</u> | Feb., 1987 | Mobin-Uddin             | 128/303. |
| <u>4650466</u> | Mar., 1987 | Luther                  | 604/95.  |
| <u>4662885</u> | May., 1987 | DiPisa, Jr.             | 623/12.  |
| <u>4705517</u> | Nov., 1987 | DiPisa, Jr.             | 623/12.  |
| <u>4706671</u> | Nov., 1987 | Weinrib                 | 128/348. |
| <u>4723549</u> | Feb., 1988 | Wholey et al.           | 128/344. |
| <u>4728319</u> | Mar., 1988 | Masch                   | 604/22.  |
| <u>4733665</u> | Mar., 1988 | Palmaz                  | 128/343. |
| <u>4762129</u> | Aug., 1988 | Bonzel                  | 606/194. |
| <u>4790812</u> | Dec., 1988 | Hawkins, Jr. et al.     | 604/22.  |
| <u>4790813</u> | Dec., 1988 | Kensey                  | 604/22.  |
| <u>4794928</u> | Jan., 1989 | Kletschka               | 128/344. |
| <u>4794931</u> | Jan., 1989 | Yock                    | 128/660. |
| <u>4800882</u> | Jan., 1989 | Gianturco               | 128/343. |
| <u>4807626</u> | Feb., 1989 | McGirr                  | 128/328. |

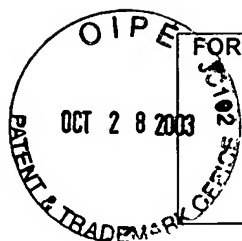
|   |                  |
|---|------------------|
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| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                  |



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| U.S. Patent Documents |                |            |                        |          |
|-----------------------|----------------|------------|------------------------|----------|
| Examiner Initial      | DOCUMENT NO.   | DATE       | NAME                   | CLASS    |
|                       | <u>4842579</u> | Jun., 1989 | Shiber                 | 606/22.  |
|                       | <u>4857045</u> | Aug., 1989 | Rydell                 | 604/22.  |
|                       | <u>4857046</u> | Aug., 1989 | Stevens et al.         | 604/22.  |
|                       | <u>4867157</u> | Sep., 1989 | McGurk-Burleson et al. | 128/305. |
|                       | <u>4873978</u> | Oct., 1989 | Ginsburg               | 128/345. |
|                       | <u>4898575</u> | Feb., 1990 | Fischell et al.        | 604/22.  |
|                       | <u>4907336</u> | Mar., 1990 | Gianturco              | 29/515.  |
|                       | <u>4921478</u> | May., 1990 | Solano et al.          | 604/53.  |
|                       | <u>4921484</u> | May., 1990 | Hillstead              | 604/104. |
|                       | <u>4926858</u> | May., 1990 | Gifford, III et al.    | 606/159. |
|                       | <u>4950277</u> | Aug., 1990 | Farr                   | 606/159. |
|                       | <u>4955895</u> | Sep., 1990 | Sugiyama et al.        | 606/194. |
|                       | <u>4957482</u> | Sep., 1990 | Shiber                 | 604/22.  |
|                       | <u>4969891</u> | Nov., 1990 | Gewertz                | 606/200. |
|                       | <u>4979951</u> | Dec., 1990 | Simpson                | 606/159. |
|                       | <u>4986807</u> | Jan., 1991 | Farr                   | 604/22.  |
|                       | <u>4998539</u> | Mar., 1991 | Delsanti               | 128/898. |
|                       | <u>5002560</u> | Mar., 1991 | Machold et al.         | 606/198. |
|                       | <u>RE33569</u> | Apr., 1991 | Gifford, III et al.    | 606/159. |
|                       | <u>5007896</u> | Apr., 1991 | Shiber                 | 604/22.  |
|                       | <u>5007917</u> | Apr., 1991 | Evans                  | 606/170. |
|                       | <u>5011488</u> | Apr., 1991 | Ginsburg               | 606/159. |
|                       | <u>5019088</u> | May., 1991 | Farr                   | 606/159. |
|                       | <u>5040548</u> | Aug., 1991 | Yock                   | 128/898. |
|                       | <u>5041126</u> | Aug., 1991 | Gianturco              | 606/195. |
|                       | <u>5053008</u> | Oct., 1991 | Bajaj                  | 604/104. |
|                       | <u>5053044</u> | Oct., 1991 | Mueller et al.         | 606/159. |
|                       | <u>5071407</u> | Dec., 1991 | Termin et al.          | 604/104. |

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FORM 1449\*

INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:  
1001.1448103Application Number:  
10/621,599

Applicant: Hanson et al.

Filing Date: July 17, 2003

Group Art Unit: Unknown

| U.S. Patent Documents |                |            |                      |          |
|-----------------------|----------------|------------|----------------------|----------|
| Examiner<br>Initial   | DOCUMENT NO.   | DATE       | NAME                 | CLASS    |
|                       | <u>5071425</u> | Dec., 1991 | Gifford, III et al.  | 606/159. |
|                       | <u>5085662</u> | Feb., 1992 | Willard              | 606/159. |
|                       | <u>5087265</u> | Feb., 1992 | Summers              | 606/159. |
|                       | <u>5100423</u> | Mar., 1992 | Fearnot              | 606/15.  |
|                       | <u>5100424</u> | Mar., 1992 | Jang et al.          | 606/159. |
|                       | <u>5100425</u> | Mar., 1992 | Fischell et al.      | 606/159. |
|                       | <u>5102415</u> | Apr., 1992 | Guenther et al.      | 606/159. |
|                       | <u>5104399</u> | Apr., 1992 | Lazarus              | 623/1.   |
|                       | <u>5108419</u> | Apr., 1992 | Reger et al.         | 606/200. |
|                       | <u>5133733</u> | Jul., 1992 | Rasmussen et al.     | 606/200. |
|                       | <u>5135531</u> | Aug., 1992 | Shiber               | 606/159. |
|                       | <u>5152771</u> | Oct., 1992 | Sabbaghian et al.    | 606/159. |
|                       | <u>5152777</u> | Oct., 1992 | Goldberg et al.      | 606/200. |
|                       | <u>5160342</u> | Nov., 1992 | Reger et al.         | 606/200. |
|                       | <u>5171233</u> | Dec., 1992 | Amplatz et al.       | 604/281. |
|                       | <u>5190546</u> | Mar., 1993 | Jervis               | 606/78.  |
|                       | <u>5195955</u> | Mar., 1993 | Don Michael          | 604/22.  |
|                       | <u>5224953</u> | Jul., 1993 | Morgentaler          | 606/192. |
|                       | <u>5306286</u> | Apr., 1994 | Stack et al.         | 606/198. |
|                       | <u>5314444</u> | May., 1994 | Gianturco            | 606/195. |
|                       | <u>5314472</u> | May., 1994 | Fontaine             | 623/12.  |
|                       | <u>5318576</u> | Jun., 1994 | Plassche, Jr. et al. | 606/159. |
|                       | <u>5329942</u> | Jul., 1994 | Gunther et al.       | 128/898. |
|                       | <u>5330484</u> | Jul., 1994 | Gunther              | 606/128. |
|                       | <u>5330500</u> | Jul., 1994 | Song                 | 606/198. |
|                       | <u>5350398</u> | Sep., 1994 | Pavcnik et al.       | 606/200. |
|                       | <u>5354310</u> | Oct., 1994 | Garnic et al.        | 606/198. |
|                       | <u>5356423</u> | Oct., 1994 | Tihon et al.         | 606/194. |

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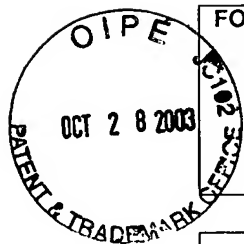
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| U.S. Patent Documents |                |            |                   |          |
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| Examiner<br>Initial   | DOCUMENT NO.   | DATE       | NAME              | CLASS    |
|                       | <u>5360401</u> | Nov., 1994 | Turnland et al.   | 604/96.  |
|                       | <u>5366464</u> | Nov., 1994 | Belknap           | 606/159. |
|                       | <u>5366473</u> | Nov., 1994 | Winston et al.    | 606/198. |
|                       | <u>5368557</u> | Nov., 1994 | Nita et al.       |          |
|                       | <u>5370616</u> | Dec., 1994 | Keith et al.      | 604/102. |
|                       | <u>5370657</u> | Dec., 1994 | Irie              | 606/200. |
|                       | <u>5370683</u> | Dec., 1994 | Fontaine          | 623/1.   |
|                       | <u>5376100</u> | Dec., 1994 | Lefebvre          | 606/180. |
|                       | <u>5383887</u> | Jan., 1995 | Nadal             | 606/200. |
|                       | <u>5383892</u> | Jan., 1995 | Cardon et al.     | 606/198. |
|                       | <u>5383926</u> | Jan., 1995 | Lock et al.       | 623/1.   |
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|                       | <u>5395349</u> | Mar., 1995 | Quiachon et al.   | 604/248. |
|                       | <u>5397345</u> | Mar., 1995 | Lazerus           | 623/1.   |
|                       | <u>5405377</u> | Apr., 1995 | Cragg             | 623/1.   |
|                       | <u>5409454</u> | Apr., 1995 | Fischell et al.   | 604/22.  |
|                       | <u>5415630</u> | May., 1995 | Gory et al.       | 604/53.  |
|                       | <u>5419774</u> | May., 1995 | Willard et al.    | 604/22.  |
|                       | <u>5421832</u> | Jun., 1995 | Lefebvre          | 604/53.  |
|                       | <u>5423742</u> | Jun., 1995 | Theron            | 604/28.  |
|                       | <u>5423885</u> | Jun., 1995 | Williams          | 623/1.   |
|                       | <u>5425765</u> | Jun., 1995 | Tiefenbrun et al. | 623/12.  |
|                       | <u>5443498</u> | Aug., 1995 | Fontaine          | 623/1.   |
|                       | <u>5449372</u> | Sep., 1995 | Schmaltz et al.   | 606/198. |
|                       | <u>5456667</u> | Oct., 1995 | Ham et al.        | 604/107. |
|                       | <u>5462529</u> | Oct., 1995 | Simpson et al.    | 604/101. |
|                       | <u>5466222</u> | Nov., 1995 | Ressemann et al.  | 604/96.  |
|                       | <u>5476104</u> | Dec., 1995 | Sheahon           | 128/757. |

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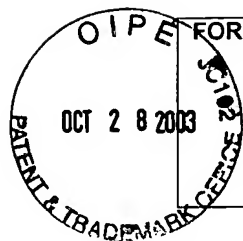


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| U.S. Patent Documents |                |            |                  |          |
|-----------------------|----------------|------------|------------------|----------|
| Examiner<br>Initial   | DOCUMENT NO.   | DATE       | NAME             | CLASS    |
|                       | <u>5484418</u> | Jan., 1996 | Quiachon et al.  | 604/167. |
|                       | <u>5507767</u> | Apr., 1996 | Maeda et al.     | 606/198. |
|                       | <u>5512044</u> | Apr., 1996 | Duer             | 604/22.  |
|                       | <u>5527354</u> | Jun., 1996 | Fontaine et al.  | 623/1.   |
|                       | <u>5534007</u> | Jul., 1996 | Germain et al.   | 606/108. |
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|                       | <u>5540707</u> | Jul., 1996 | Ressemann et al. | 606/159. |
|                       | <u>5549626</u> | Aug., 1996 | Miller et al.    | 606/200. |
|                       | <u>5562724</u> | Oct., 1996 | Vowerk et al.    | 623/1.   |
|                       | <u>5569274</u> | Oct., 1996 | Rapacki et al.   | 606/158. |
|                       | <u>5569275</u> | Oct., 1996 | Kotula et al.    | 606/159. |
|                       | <u>5634897</u> | Jun., 1997 | Dance et al.     | 604/35.  |
|                       | <u>5658296</u> | Aug., 1997 | Bates et al.     | 606/127. |
|                       | <u>5662671</u> | Sep., 1997 | Barbut et al.    | 606/170. |
|                       | <u>5669933</u> | Sep., 1997 | Simon et al.     | 600/200. |
|                       | <u>5681347</u> | Oct., 1997 | Cathcart et al.  | 606/200. |
|                       | <u>5695519</u> | Dec., 1997 | Summers et al.   | 606/200. |
|                       | <u>5709704</u> | Jan., 1998 | Nott et al.      | 606/200. |
|                       | <u>5720764</u> | Feb., 1998 | Naderlinger      | 606/200. |
|                       | <u>5728066</u> | Mar., 1998 | Daneshvar        | 604/96.  |
|                       | <u>5728067</u> | Mar., 1998 | Enger            | 604/102. |
|                       | <u>5746758</u> | May., 1998 | Nordgren et al.  | 606/159. |
|                       | <u>5749848</u> | May., 1998 | Jang et al.      | 604/53.  |
|                       | <u>5769816</u> | Jun., 1998 | Barbut et al.    | 604/96.  |
|                       | <u>5779671</u> | Jul., 1998 | Ressemann et al. |          |
|                       | <u>5779716</u> | Jul., 1998 | Cano et al.      | 606/114. |
|                       | <u>5792157</u> | Aug., 1998 | Mische et al.    |          |

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**U.S. Patent Documents**

| Examiner<br>Initial | DOCUMENT NO.   | DATE       | NAME               | CLASS    |
|---------------------|----------------|------------|--------------------|----------|
|                     | <u>5792300</u> | Aug., 1998 | Inderbitzen et al. | 156/244. |
|                     | <u>5795322</u> | Aug., 1998 | Boudewijn          | 604/22.  |
|                     | <u>5797952</u> | Aug., 1998 | Klein              | 606/198. |
|                     | <u>5800457</u> | Sep., 1998 | Gelbfish           | 606/200. |
|                     | <u>5800525</u> | Sep., 1998 | Bachinski et al.   | 623/1.   |
|                     | <u>5810874</u> | Sep., 1998 | Lefebvre           | 606/200. |
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|                     | <u>5817102</u> | Oct., 1998 | Johnson et al.     | 606/108. |
|                     | <u>5827324</u> | Oct., 1998 | Cassell et al.     | 606/200. |
|                     | <u>5833644</u> | Nov., 1998 | Zadno-Azizi et al. | 604/52.  |
|                     | <u>5833650</u> | Nov., 1998 | Imran              | 604/53.  |
|                     | <u>5846260</u> | Dec., 1998 | Maahs              | 606/200. |
|                     | <u>5848964</u> | Dec., 1998 | Samuels            | 600/200. |
|                     | <u>5876367</u> | Mar., 1999 | Kaganov et al.     | 604/8.   |
|                     | <u>5893867</u> | Apr., 1999 | Bagaoisan et al.   | 606/198. |
|                     | <u>5893869</u> | Apr., 1999 | Barnhart           | 606/200. |
|                     | <u>5895399</u> | Apr., 1999 | Barbut et al.      | 606/159. |
|                     | <u>5902263</u> | May., 1999 | Patterson et al.   | 604/22.  |
|                     | <u>5906618</u> | May., 1999 | Larson, III        | 606/108. |
|                     | <u>5908435</u> | Jun., 1999 | Samuels            | 606/200. |
|                     | <u>5910154</u> | Jun., 1999 | Tsugita et al.     | 606/200. |
|                     | <u>5911734</u> | Jun., 1999 | Tsugita et al.     | 606/200. |
|                     | <u>5916192</u> | Jun., 1999 | Nita et al.        |          |
|                     | <u>5916193</u> | Jun., 1999 | Stevens et al.     | 604/53.  |
|                     | <u>5925016</u> | Jul., 1999 | Chornenky et al.   | 604/96.  |
|                     | <u>5925060</u> | Jul., 1999 | Forber             | 606/191. |
|                     | <u>5925062</u> | Jul., 1999 | Purdy              | 606/200. |
|                     | <u>5925063</u> | Jul., 1999 | Khosravi           | 606/200. |

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FORM 1449\*

INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:  
1001.1448103Application Number:  
10/621,599

Applicant: Hanson et al.

Filing Date: July 17, 2003

Group Art Unit: Unknown

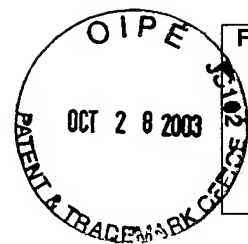
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| <b>FORM 1449*</b><br><br><b>INFORMATION DISCLOSURE STATEMENT<br/>IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | Docket Number:<br>1001.1448103 | Application Number:<br>10/621,599 |
|   | Applicant: Hanson et al.       |                                   |
|   | Filing Date: July 17, 2003     | Group Art Unit: Unknown           |

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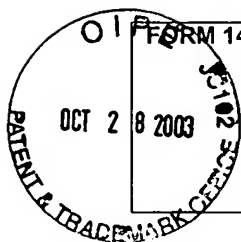
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| <b>FORM 1449*</b><br><br><b>INFORMATION DISCLOSURE STATEMENT<br/>IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | Docket Number:<br>1001.1448103 | Application Number:<br>10/621,599 |
|   | Applicant: Hanson et al.       |                                   |
|   | Filing Date: July 17, 2003     | Group Art Unit: Unknown           |

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1001.1448103Application Number:  
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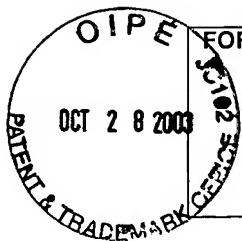
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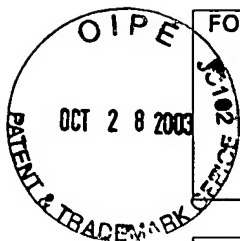
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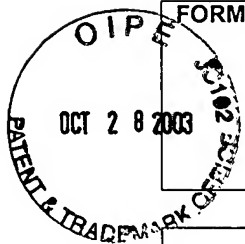
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|   | Applicant: Hanson et al.       |                                   |
|   | Filing Date: July 17, 2003     | Group Art Unit: Unknown           |

| U.S. Patent Documents |              |            |      |       |
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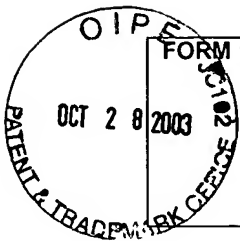
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| <b>FORM 1449*</b>  |  | Docket Number:<br>1001.1448103 | Application Number:<br>10/621,599 |
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|  |  | Filing Date: July 17, 2003     | Group Art Unit: Unknown           |

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